

03-15-01

03/14/01

11060 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>new nonprovisional applications under 37 CFR 1.53(b)</small>	Attorney Docket No.	61112	Total Pages	28
	First Named Inventor or Application Identifier			
	Keith P. Johnston et al.			
	Express Mail Label No.	EL652517692US		

PTO  
03/14/01

PREPARATION OF DRUG PARTICLES USING EVAPORATION PRECIPITATION INTO AQUEOUS SOLUTIONS

**APPLICATION ELEMENTS**

Use MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 19]</span> <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) <small>(35 USC 113)</small> <span style="float: right;">[Total Sheets 4]</span></p> <p>4. <input type="checkbox"/> Declaration and Power of Attorney <span style="float: right;">[Total Pages ____]</span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> <small>[Note Box 5 below]</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>5. <input type="checkbox"/> Incorporation By Reference <small>The entire disclosure of the prior application identified in Box 18 is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small></p>	<p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p>
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**ACCOMPANYING APPLICATION PARTS**

<p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>14. <input type="checkbox"/> Associate Power of Attorney</p> <p>15. <input type="checkbox"/> Sealed envelope containing confidential information, which Applicants may request to be expunged from the application file.</p> <p>16. <input checked="" type="checkbox"/> Authorization for payment of fees and Petition for Extensions of Time.</p> <p>17. <input type="checkbox"/> Other: _____</p>
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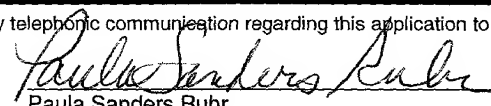
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
Amend the specification by inserting before the first line, the sentence: "This application is a  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_."

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

  
Paula Sanders Ruhr  
Reg. No.: 32,595  
Phone No.: (517) 636-4826  
Fax No.: (517) 636-7594

Assistant Commissioner for Patents  
Washington, D. C. 20231

Attorney's Case No. : 61112

Application of : Keith P. Johnston; Robert O. Williams; Timothy J. Young; Xiaoxia Chen.

For: PREPARATION OF DRUG PARTICLES USING EVAPORATION  
PRECIPITATION INTO AQUEOUS SOLUTIONS

No. of Drawing Sheets: 4

EXPRESS MAIL MAILING LABEL NO	EL652517692US
DATE OF DEPOSIT	March 14, 2001

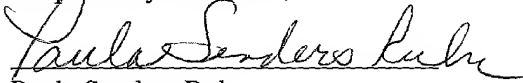
Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee		<u>\$710.00</u>
Total Number of Claims	<u>27</u>	
Less (Basic Fee)	<u>20</u>	
B. Extra Claims	<u>7</u> x \$ 18.00 =	<u>\$126.00</u>
Total Number Independent Claims	<u>2</u>	
Less (Basic Fee)	<u>3</u>	
C. Extra Independent Claims	<u>0</u> x \$ 80.00 =	\$
D. Multiple Dependent Claims Presented	+ \$270.00 =	\$
TOTAL FILING FEE (A+B+C+D) =		<u>\$836.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,

  
Paula Sanders Ruhr  
Registration No.: 32,595  
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THE DOW CHEMICAL COMPANY  
Patent Department  
P.O. Box 1967  
Midland, MI 48641-1967

Date: March 14, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Keith P. Johnston et al.

Attorney Docket No.: 61112

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: PREPARATION OF DRUG PARTICLES USING EVAPORATION  
PRECIPITATION INTO AQUEOUS SOLUTIONS

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DATE OF DEPOSIT: March 14, 2001

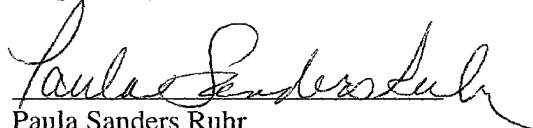
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,



Paula Sanders Ruhr

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Date: March 14, 2001

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PSR/mdb